

Substitute for Form 1449A/PTO (Modified)		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/041,040
		Filing Date	December 28, 2001
		First Named Inventor:	Gary Solomon
		Group Art Unit	2133
		Examiner Name	Esaw T. Abraham
Sheet 1 of 1	Attorney Docket Number	42390.P13767	

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
SA		EP	0 884 880	A2	Sony Corporation	12-16-1998		
SA		EP	0 967 757	A2	Sony Corporation	12-29-1999		

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
SA		GREENWALD, M., et al., "Designing an Academic Firewall: Policy, Practice and Experience with SURF", Proceedings of the IEEE Symposium on Network and Distributed System Security (SNDSS '96), San Diego, CA, pp. 79-92 (February 22-23, 1996).	
SA		HyperTransport Technology Consortium, "HyperTransport I/O Link Specification", Revision 1.03, Chapter 10, pp. 111-118 (October 10, 2001).	
SA		IBM Corporation, "Deadlock-Free Message Passing Protocol in CSP-Based Languages", IBM Technical Disclosure Bulletin, Vol. 37, No. 7, pp. 192-195 (December 1989).	
SA		MITRA, N., "Efficient Encoding Rules for ASN.1-Based Protocols", AT&T Technical Journal, Vol. 73, No. 3, New York, US, pp. 80-93 (May/June 1994).	

Examiner Signature	Esaw T. Abraham	Date Considered	10/28/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Method and apparatus for signaling an error condition to an agent not expecting a completion
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Application Number: 10/041040

Confirmation Number: 1949

First Named Applicant: Gary Solomon

Attorney Docket Number: 42P13767

Art Unit: 2133

Examiner: Esaw Abraham

Search string: (4621364 or 5033047 or 5390298 or 5414717 or 5699519 or 5867652 or 5909427 or 5920705 or 6055236 or 6084869 or 6154839 or 6389016 or 6424625 or 6515967 or 20030031208 or 20030039209).pn.

10/041040

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
2A	1	4621364	1986-11-04	Tschoepe			
3A	2	5033047	1991-07-16	Uehara			
2A	3	5390298	1995-02-14	Kuszmaul et al.			
2A	4	5414717	1995-05-09	Matsumoto et al.			
3A	5	5699519	1997-12-16	Shiobara			
2A	6	5867652	1999-02-02	Hurvig			
3A	7	5909427	1999-06-01	Manning et al.			
3A	8	5920705	1999-07-06	Lyon et al.			
2A	9	6055236	2000-04-25	Nessett et al.			
3A	10	6084869	2000-07-04	Fishman et al.			
3A	11	6154839	2000-11-28	Arrow et al.			
3A	12	6389016	2002-05-14	Sabaa et al.			
3A	13	6424625	2002-07-23	Larsson et al.			
3A	14	6515967	2003-02-04	Wei et al.			

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US Published Applications

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init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
SD	1	20030031208	2003-02-13	Anehem et al.			
SD	2	20030039209	2003-02-27	Webber			

Signature

Examiner Name	Date
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